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Atty. Dkt. No. 040302-0532

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant:

Takamitsu SAITO et al.

Title:

METHOD OF MANUFACTURING SECONDARY

BATTERY ELECTRODE, APPARATUS FOR MANUFACTURING THE SAME AND SECONDARY

BATTERY ELECTRODE

Appl. No.:

10/560,504

International

05/31/2004

Filing Date:

371(c) Date:

12/13/2005

Examiner:

Unassigned

Art Unit:

3726

Confirmation

5658

Number:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be

appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

In a counterpart Korean application, an Office Action dated June 13, 2007, was received by Applicants. A copy of the Korean Office Action is attached herewith.

Documents A1 and A5 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A2, A3 and A4 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A6 and A7 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Applicants wish to advise the Examiner that document JP 10-064514, cited in the Korean Office Action, was previously submitted in an Information Disclosure Statement dated December 13, 2005, and is not provided herewith.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and

the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date August 30, 2007

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO			PTO		Complete if Known	010
	INFORMATION DISCLOSURE			Application Number	10/560,504	M
STATEMENT BY APPLICANT			CANT	Filing Date	12/13/2005 /	2 AUG
			0.2007	First Named Inventor	Takamitsu SAITO	30 2
Date Submitted: August 30, 2007				Art Unit	3726	5 (00, 9)
(use as many sheets as necessary)			cessary)	Examiner Name	Unassigned	13
Sheet	1	of	1	Attorney Docket Number	040302-0532	POEMARK OFFICE

U.S. PATENT DOCUMENTS					
	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)			
	A1	6,193,349 B1	02-27-2001	CORNELL ET AL.	
	A2	6,411,063 B1	06-25-2002	KOUZU ET AL.	
	А3	6,344,728 B2	02-05-2002	KOUZU ET AL.	

No.¹	Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>®</sup>
A4	KR 2000-0069332 B1	11-25-2000	TOYOTA MOTOR CO., LTD. ET AL.		Abst.
A5	KR 1999-007047 A	01-25-1999			
A6	JP 2002-523892 A	07-30-2002	SRI INTERNATIONAL		Abst.
A7	WO 00/10736 A1	03-02-2000	SRI INTERNATIONAL		

NON PATENT LITERATURE DOCUMENTS					
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>®</sup>			
	<u> </u>				
		Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s),			

Examiner Signature	Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.